

A model to calculate consistent atmospheric emission projections and its application to Spain

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Abstract

Global warming and air quality are headline environmental issues of our time and policy must preempt negative international effects with forward-looking strategies. As part of the revision of the European National Emission Ceilings Directive, atmospheric emission projections for European Union countries are being calculated. These projections are useful to drive European air quality analyses and to support wide-scale decision-making. However, when evaluating specific policies and measures at sectoral level, a more detailed approach is needed. This paper presents an original methodology to evaluate emission projections. Emission projections are calculated for each emitting activity that has emissions under three scenarios: without measures (business as usual), with measures (baseline) and with additional measures (target). The methodology developed allows the estimation of highly disaggregated multi-pollutant, consistent emissions for a whole country or region. In order to assure consistency with past emissions included in atmospheric emission inventories and coherence among the individual activities, the consistent emission projection (CEP) model incorporates harmonization and integration criteria as well as quality assurance/quality check (QA/QC) procedures. This study includes a sensitivity analysis as a first approach to uncertainty evaluation. The aim of the model presented in this contribution is to support decision-making process through the assessment of future emission scenarios taking into account the effect of different detailed technical and non-technical measures and it may also constitute the basis for air quality modelling. The system is designed to produce the information and formats related to international reporting requirements and it allows performing a comparison of national results with lower resolution models such as RAINS/GAINS. The methodology has been successfully applied and tested to evaluate Spanish emission projections up to 2020 for 26 pollutants but the methodology could be adopted for any particular region for different purposes, especially for European countries.

Keywords: Air pollution; Model; Emission projections; Projections uncertainty; QA/QC

1. Introduction

It is generally recognized that effective air quality policies and measures (P&M) can only be based on quantitative information on both current and future emissions of pollutants (Samaras et al., 1999). In

order to evaluate these emission projections, it is important to compile technology-based emission inventories consisting of detailed activity data and representative emission factors. Considerable efforts have been made in the framework of the UNECE Convention for Long Range Transport of Air Pollution, both in the USA (USA, 2002) and the European Union (EC, 2004) to develop and refine national or regional emission inventories. The methodology used to estimate emissions in the EU is coordinated by the European Environment Agency through the COoRdination of INformation on AIR (CORINAIR) emission inventory, the associated methodology (EEA, 2006) and Common Reporting Format (CRF) of UNFCCC.

However, a limited amount of research has been done in developing models to forecast atmospheric emissions (Samaras et al., 1999; US EPA, 1999). Some projections of regional or global emissions have been recently done but they often do not rely on a formal approach (a model) but rather extrapolate from current trends. Moreover, if modelled they are on a larger scale or very small scale, like particular sector only. For instance, Derwent et al. (2006) projected ozone precursors for anthropogenic sectors in Ireland, Garg et al. (2004) developed future CH₄ and N₂O emissions from all sectors for India, Klimont et al. (2002) estimated anthropogenic NMVOC emissions in China, McCulloch and Lindley (2007) have done a global calculation of HFC-23 from HCFC-22 production until 2015, Pirrone et al. (2001) projected mercury emissions for the Mediterranean basin from major industrial sources, and Streets and Waldhow (2000) estimated SO₂, NO_x and CO for all China for year 2020. In this paper, a highly detailed consistent emission projection (CEP) model is developed to address national emission projections under a multi-pollutant and multi-sector approach. In contrast with previous studies, this methodology encompasses all major pollutants (26) and activity sectors (282) covering both anthropogenic and natural emission sources at national level. The model has been applied and tested for Spain in the period 2001–2020.

Although national emission projections have become a requirement for EU Member States and are being included in the integrated assessment modelling process conducted under the Clean Air For Europe (CAFE) programme and in the Revision of the National Emission Ceilings (NEC)

Directive (2001/81/EC), there is still a lack of clearly stated procedures and methodologies for making national emission projections suitable for meeting international information requirements while keeping full consistency with national atmospheric emission inventories. The CEP model provides a sound methodology for emission projections in this scope. One of its main objectives is to provide information on future emissions of pollutants included in the Kyoto Protocol (UNFCCC, 1997) and the Geneva Convention (GC, 1979). Other specific objectives of the model are as follows:

- Determine future emission scenarios based on alternative policies and/or technologies.
- Estimate the effectiveness of the abatement measures included under each scenario.
- Assess the compliance with international agreements such as the Kyoto Protocol and the NEC Directive.

The application of this methodology produced annual emissions of greenhouse effect gases (GHG), acidifying gases, heavy metals, particulate matter and ozone precursors for Spain up to the year 2020. These projections have been developed under several scenarios, compatible with the CAFE methodology. The flowchart in Fig. 1 provides a basic picture of the model including data types, sources, main outputs and their purpose.

The fundamentals and rationale of the projection methodology is summarized in Section 2 while the main results from the application of the model to Spain are presented in Section 3. Section 4 summarizes discussions of the methodology and implications and Section 5 presents the conclusions and outlook for future work.

2. Methodology

2.1. Pollutants

The methodology considers the 11 pollutants included in the Geneva Convention and the 15 gases under the Kyoto Protocol.

2.2. Activities considered

The activities considered are those included in the Selected Nomenclature for Air Pollution (SNAP 97) within the CORINAIR methodology. The SNAP system has a hierarchical structure of three levels

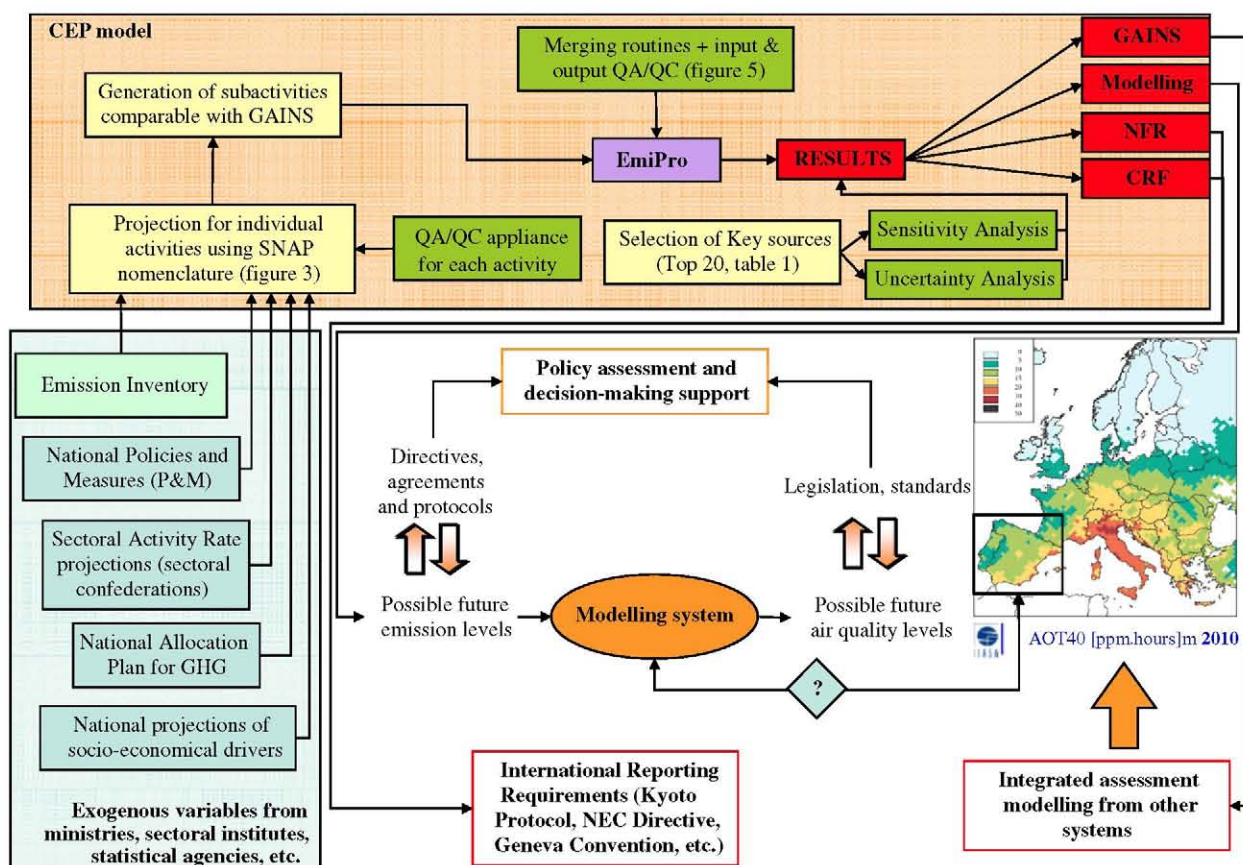


Fig. 1. CEP framework. The CEP model includes all calculation methods (yellow charts), procedures (green charts), software tool (violet chart) and results (red charts) within the orange coloured box on the top. Exogenous inputs are placed on the bottom-left part of the figure distinguishing between the NAEI (green colour) and envisaged policies and measures (blue). Reporting endpoints and purpose of model outputs are illustrated on the lower-right side.

called Group, Sub-group and Activity (EEA, 2006) related to both, anthropogenic and natural emission sources.

Two basic classes of emission sources have been considered, point and area sources. Area sources comprise various emission-producing units which, as a result of their small individual significance or due to the way their basic data are represented (breakdown by territorial units), must be dealt with on an aggregate basis for a particular geographical area. Point sources are those which, owing to their high contribution to total emissions and their release conditions, must be dealt with individually. The criteria used to identify large point sources (LPS) are those proposed in the CORINAIR methodology (EEA, 2006). This issue provides useful information for modelling purposes and enables the integration of plant-specific plans and regulations.

2.3. Emission projection calculations

When projecting atmospheric emissions to future years using the CEP methodology, one of the basic principles is to be fully consistent with the official past emission series, usually referred to as National Atmospheric Emission Inventory (NAEI). Consequently, data sources and computation methods involved in the compilation of NAEI are extended to projected series. For instance, for the Spanish case, NH_3 emission projections from livestock were calculated using the same assumptions on number of housing days, amount of nitrogen excreted in housing and grazing (in kg of N/animal/year) and the same volatilization percentage for housing, storage, application, and grazing. However, in certain cases an improved approach could be implemented, e.g., when more detailed information on a specific sector not included in NAEI has

become available. A common example of this situation can be found in the industrial sector, where Best Available Techniques (BAT) shall be applied. For all the industrial activities included in the inventory (SNAP groups 3 and 4), penetrations of BAT were considered according to national guidelines and interviews with sectoral experts.

As a result, the top-down approach was used for 90% of the different activities (252 out of 282) included in the CEP for the Spanish case. Nevertheless, the remaining 10% has a very significant impact on national emission levels and were calculated using a bottom-up approach (MMA, 2006). In addition, the bottom-up approach was used to quantify future emissions from smaller point sources for which specific legislation applies, such as some activities included in the solvent use sector (SNAP group 6).

Regardless of the aggregation level, emission projections were calculated using two different methodological approaches depending on the information available: (a) the technology-based approach and (b) the surrogate growth approach. The rationale and algorithms behind each option are explained in Sections 2.3.1 and 2.3.2. The computation methods applied are consistent with the general approach of US EPA (1999) and van Harmelen and Pulles (2000). All projections were estimated on an annual, national basis (except for LPS dealt with individually) at the highest possible detail, which means the most detailed source-sector level included in the SNAP reporting nomenclature used within the EU and UN air pollution regulation (documented in EEA, 2006).

2.3.1. Technology-based projections

This approach was used when detailed information about future activity rates (A_i) and technological changes were either available or predictable. This includes implementation of end-of-pipe emission control measures, technological improvements in existing production processes and penetration of emerging production technologies as well as predicted trends of activity growth. Projection calculations were carried out for each activity as follows:

$$E_{i,j} = A_i EF_{a,j} \prod_{k=1}^n CF_{t,j,k}, \quad (1)$$

where $E_{i,j}$ is the emission of pollutant j in year i , A_i is the activity rate for the year i , $EF_{a,j}$ is the emission factor of pollutant j for the base year a , and $CF_{t,j,k}$

is the control factor for pollutant j using measure k shown in Eq. (2):

$$CF_{t,j,k} = 1 - R_k P_k, \quad (2)$$

where R_k is the emission reduction rate associated with the application of measure k (e.g., implementation of electrostatic precipitators for the reduction of particulate matter emissions from coal burning power plants) and P_k is the penetration of measure k (e.g., percentage of coal burnt in power plants where electrostatic precipitators are implemented). R_k and P_k range between 0 and 1.

2.3.2. Surrogate growth-based projections

The surrogate growth approach was used when there was insufficient information to apply the method described in Eq. (1). In this case, a surrogate variable, such as gross domestic product (GDP), population, or fuel consumption was used as follows:

$$E_{i,j} = G_{a-i} E_{a,j} \prod_{k=1}^n CF_{g,j,k}, \quad (3)$$

where $E_{a,j}$ is the emission of pollutant j for the base year a , G_{a-i} is the emission growth factor between the base year and year i , and $CF_{g,j,k}$ is the control factor for pollutant j associated with the application of measure k . For instance, emissions from use of N_2O in anaesthesia were projected using growth population as G_{a-i} or forestry machinery emissions were calculated through fuel consumption and expected forest growth as surrogate variables.

Although total emissions from certain activity sectors were calculated using specific methodologies and software tools (e.g., COPERT III for road transport emissions, EEA, 2000), composite emission factors were also derived for these activities so that parameters involved in Eq. (1) were obtained. This provides a consistent framework for the analysis of emission projections from different activity sectors, facilitating thus the comparison of alternative emission scenarios within the CAFE programme.

It should be noted that emission drivers are exogenous variables to the model, i.e., the methodology does not include any econometric model to forecast basic socio-economic variables driving the activity rates or growth factors. This approach enables the model to integrate, where available, future national activity rate estimates for all sectors in a consistent manner.

2.4. Scenarios

For a given activity, it is possible to define as many emission scenarios as the number of different combinations of any possible values of the parameters included in Eqs. (1) or (3). These values (e.g., EF, A , CFT, etc.) depend on socio-economic, legislative, and technological aspects and should be consistent through each scenario. The set of hypotheses made for all the parameters involved in the emission calculations determines a scenario.

In order to standardize the definition of activity hypothesis and assure global consistency, three scenarios relevant to air quality management have been adopted as discussed below.

2.4.1. *Business as usual (BAU) or without measures*

BAU scenario relies on statistical methods to project activity rates and/or emission factors to a future year, taking only into consideration past emission data and current trends. It excludes all P&M implemented, adopted or planned after the base year. This scenario shows how emissions from a specific activity sector would grow in the absence of any additional technical or non-technical control measures.

Estimates are built upon the best regression adjustment from available past activity data (since 1990 in the Spanish case) (i.e., the regression that minimizes the non-explainable residues of the model). When long data series are available, a statistical model for short-term forecasting (Univariate Stochastic ARIMA Model) that incorporates both autoregressive parameters and the variance of the error term was used. In the few cases where no detailed information on past activity rates and emission factors was available, an emission trend was extrapolated from past emission values.

2.4.2. *Baseline scenario or with measures*

Baseline scenario is intended to provide national emission projections in consistence with the homonymous scenario defined in the CAFE Programme. It provides estimates for national emissions according to the implemented P&M for reducing emissions through technology improvements and dissemination, demand-side efficiency gains, more efficient regulatory procedures, and shifts to cleaner fuels. This scenario has also been defined taking into consideration all sectoral plans and measures (enacted and in force) published by official organi-

zations and the national sectoral legislation. Thereby, it outlines a likely range for future emissions of air pollutants and greenhouse gases.

The quantification of the effect of P&M in emission reductions (estimated from the difference between baseline and BAU scenario) is critical for assessing their effectiveness and therefore, to guide the proposal of additional measures to achieve emission objectives. In addition, it constitutes the basis for extended cost-benefit analysis.

2.4.3. *Target scenario or with additional measures*

The target scenario incorporates additional measures in relation to the baseline scenario (measures that could also be adopted for the fulfillment of commitments under the NEC Directive and the Kyoto Protocol). These measures are based on technical references available for each sector, as for instance, the documents prepared by the Expert Group on Techno Economic Issues (CITEPA, 2003). When there is no future technology available and a change in the activity rate is economically and technically practical, it includes assumptions about alternative activity rate evolution patterns. That means that non-technical measures (e.g., efficiency improvement, consumption or production cutback) or policy instruments (e.g., fiscal and prescriptive measures), currently being discussed in Europe (IVL, 2006), may be adopted to reach the targets.

Sectoral and spatial disaggregation of emission thresholds is one of the most challenging issues for their implementation. Sectoral disaggregation is done by establishing an “emission bubble”, i.e., the allowed amount of emissions for each activity for the year 2010 (at SNAP-3 level), which is proportional to the activity emission level in the base year 2000. This criterion (based on fixed emissions in 2000) is considered to be the most appropriate because it is not dependent on the evolution of emissions from each activity sector after the base year. This is a critical aspect of the proposed methodology, since the determination of the target scenario is very sensitive to activity-specific thresholds setting.

2.4.4. *Emission calculation*

Fig. 2 shows the general procedure for emission calculation carried out at activity level. The first step consists of selecting the appropriate calculation method from those explained in Section 2.3. The best available data allow defining the parameters involved in the calculation method (step 2) and are

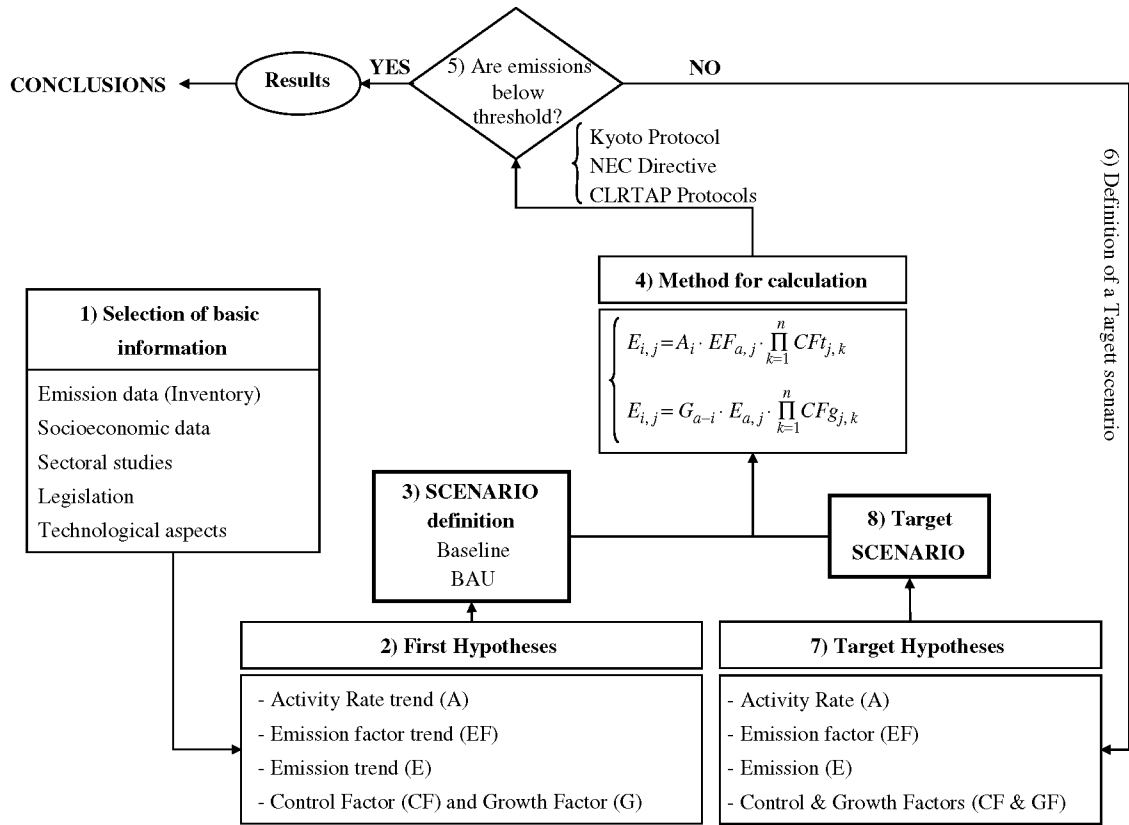


Fig. 2. General scheme for developing emission projections at activity level.

used in the characterization of BAU and baseline scenarios (step 3). Afterwards, the formulae are applied to evaluate emission projections (step 4). Results from step 4 are compared against the defined activity-level thresholds (step 5). If all pollutant projections under the baseline scenario are below the limit (for the reference year; 2010 in the Spanish case), the procedure finishes. Otherwise, a target scenario including additional measures for further reductions is introduced, and consequently, new values for main calculation parameters in Eqs. (1) or (3) (step 7) defining the target scenario (step 8) are applied. At this stage, the criterion to select the additional measures is based on their effectiveness and the interest from the decision makers (for Spain, the Ministry of Environment). However, a multi-criteria analysis including emission reductions and cost is being developed. The scheme is iterated until target emissions are below the thresholds. Supervision is needed to assure measures feasibility and avoid unrealistic assumptions.

As a consequence of reporting requirements, some spatial disaggregation was needed. In addition,

EEA, LRTAP Convention (EMEP, 2004) and UNFCCC establish a different scope as far as pollutant datasets and emitting activities are regarded. In order to reduce computational requirements, a parallel database scheme was adopted. Further discussion on this issue can be found in Borge et al. (2005).

2.5. Integration of scenarios

For integration purposes, all the activities identified as having a clear connection through the activity rates involved in the emission estimation are gathered in a “Macroscenario”, as it is shown in Fig. 3. This concept is discussed in Section 4.1. The same name is also applied to the joint projection of these groups of activities resulting from the addition of individual projections. For instance, the energy generation scenario that includes combustion in energy and transformation industries except district heating plants, non-industrial combustion plants, and electrical equipments (except electronic components manufacturing). Macroscenarios for industry,

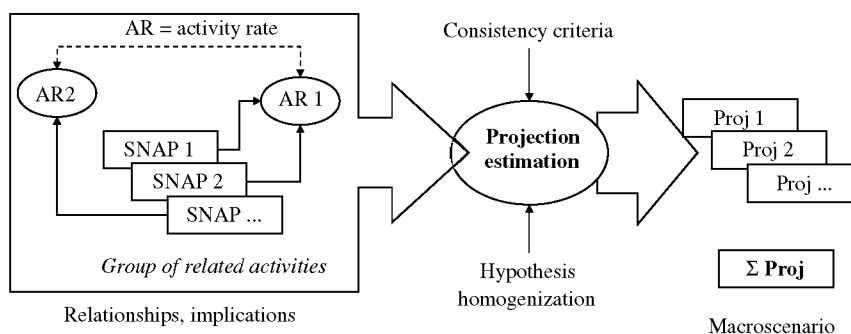


Fig. 3. The macrosenario concept and individual results merging process.

transport, waste management, livestock-agriculture and nature were also defined. Once the relationship mapping, (i.e., the connection between activities as indicated in Fig. 3), has been defined, the completion of the method is only a matter of introducing consistency criteria into the hypothesis made under each scenario for a particular activity rate. For those activities using the same or strongly related activity rates, consistent values are considered across all the SNAP activities involved.

A software tool (EmiPro) has been developed as part of this model to support the macrosenario definition and the emission projection grouping. EmiPro implements the methodology described above and facilitates reporting and comparison with other emission projection methodologies, such as RAINS. Its main functionalities are: (i) storage and recovery of past emissions, (ii) generation of projections from history data and algorithm factors, (iii) storage and recovery of projected emissions, (iv) reports generation, and (v) quality assurance/quality check (QA/QC) support. A detailed description can be found in Borge et al. (2005).

2.6. QA/QC of calculations

The QA/QC procedure applied in projections consists of automatic checking of intermediate results, such as the use of different fuels in an industrial process to assure coherence between fuel consumption, technological innovation and production rates. In addition, emission projections are calculated retrospectively for past years and compared with the values included in the SNAEI for all pollutants.

When emission projections are calculated statistically from past values, the predicted emissions are checked to ensure that unrealistic values, such as

negative emissions, are replaced by adequate values derived from reliable emission factors and/or activity rates (e.g., asymptotic reduction scenario).

Furthermore, a double-checking mechanism based on global fuel consumption is implemented within CEP. This mechanism allows the comparison between the aggregated fuel consumption from all activities (calculated using both bottom-up and top-down methodologies) and the total national fuel consumption projected by the official national institute (in the Spanish case, the Ministry of Industry MICYT, 2003) and international fuel consumption projections (Capros and Mantzos, 2004; Amann et al., 2007). This fuel budget provides values for each sector and type of fuel used in the three scenarios (BAU, baseline and target). All together, 28 different types of fuel are further grouped into different national and international fuel categories. Finally, the fuel budget mechanism is implemented into the software tool EmiPro, which can be used to detect and fix possible inconsistencies in the emission projections.

EmiPro also provides warnings intended to alert the user on inappropriate or suspicious results such as large leaps in emissions for consequent years or greater emission values for $PM_{2.5}$ than PM_{10} .

3. Model application to Spain

This section presents the input data and the main assumptions considered to evaluate Spanish emission projections applying the CEP model.

3.1. Business as usual scenario

The BAU scenario is used to figure out the impact of P&M included in the baseline scenario in emission reduction. Fig. 4 presents three illustrative examples of the alternatives for computation. The

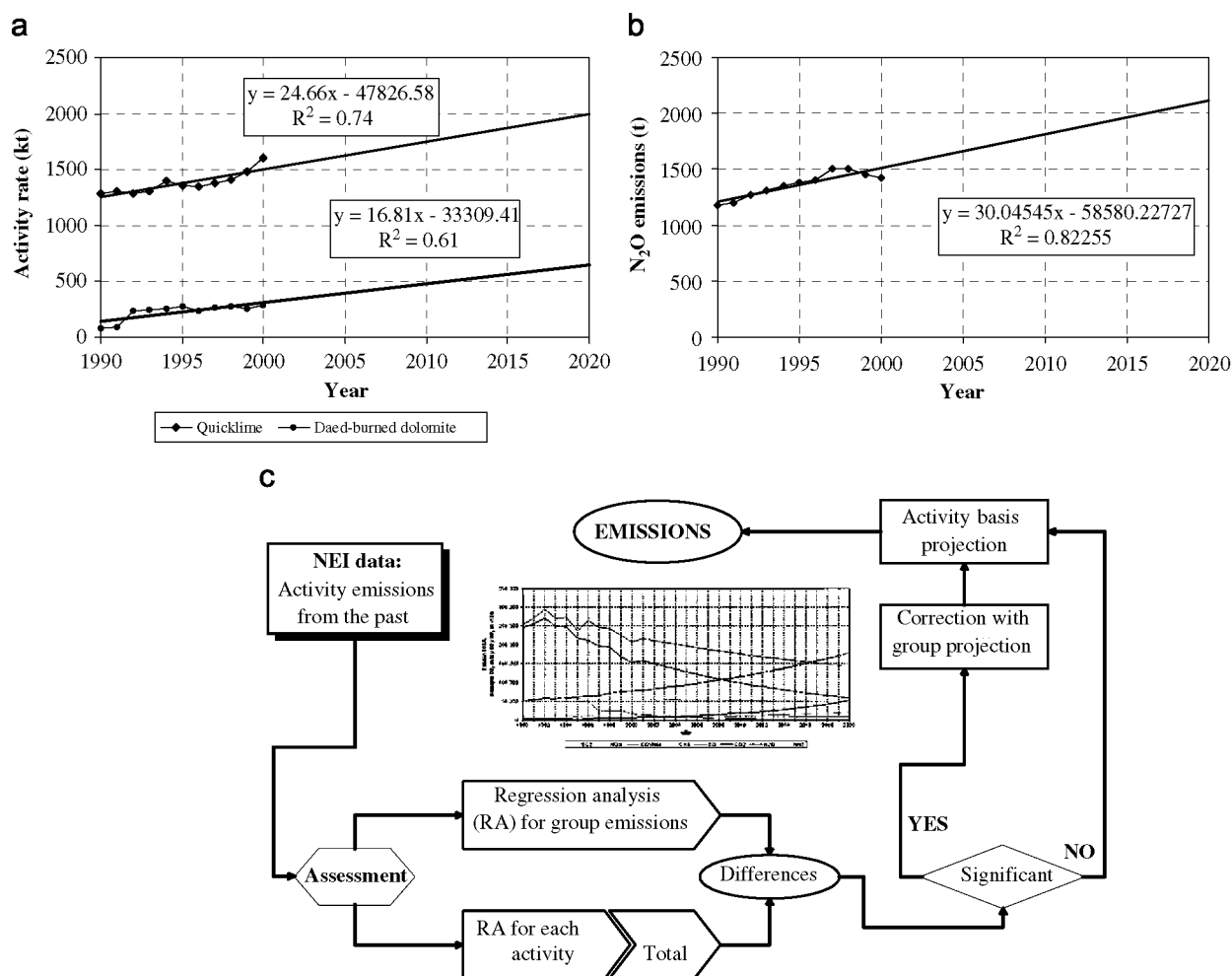


Fig. 4. Examples of BAU scenario types of calculation.

first two graphs show regression adjustments for activity rate (Fig. 4a for lime production—quicklime and daed-burned dolomite) and emission data (Fig. 4b for N_2O emissions from anesthesia applications). This method is used when reasonable correlation values are obtained ($R^2 > 0.6$). In some cases, when a group of activity are closely related, it is advisable to get an aggregated tendency, so individual projections can be merged in a meaningful way. This approach was applied for road transport activities as shown in Fig. 4c.

Fig. 5 shows national-aggregated emission projections for CO_2 (Fig. 5a), CO_2 equivalent (Fig. 5b), and the NEC pollutants. All these gases show a substantial increase under the BAU scenario. The highest increment for the period 2000–2020 corresponded to SO_2 and CO_2 (+88% and +83%) due to the absence of technical measures to abate

emissions and to the tendency of energy consumption observed in the past, respectively.

3.2. Baseline scenario

As a starting point for further analysis Table 1s, included as supplementary material, presents the main P&M considered in the baseline scenario at SNAP-group level. The table includes the name of each measure, the sectors affected, targeted pollutants and a relevant reference.

Table 1 summarizes the effect of the adoption of the measures mentioned above in reference to BAU scenario. Measures devised for the agriculture sector are the most effective for total NH_3 reductions (they would reduce 52 kt, which means 80% of national NH_3 abatement). Concerning NMVOC, technological measures for on-road transport could save

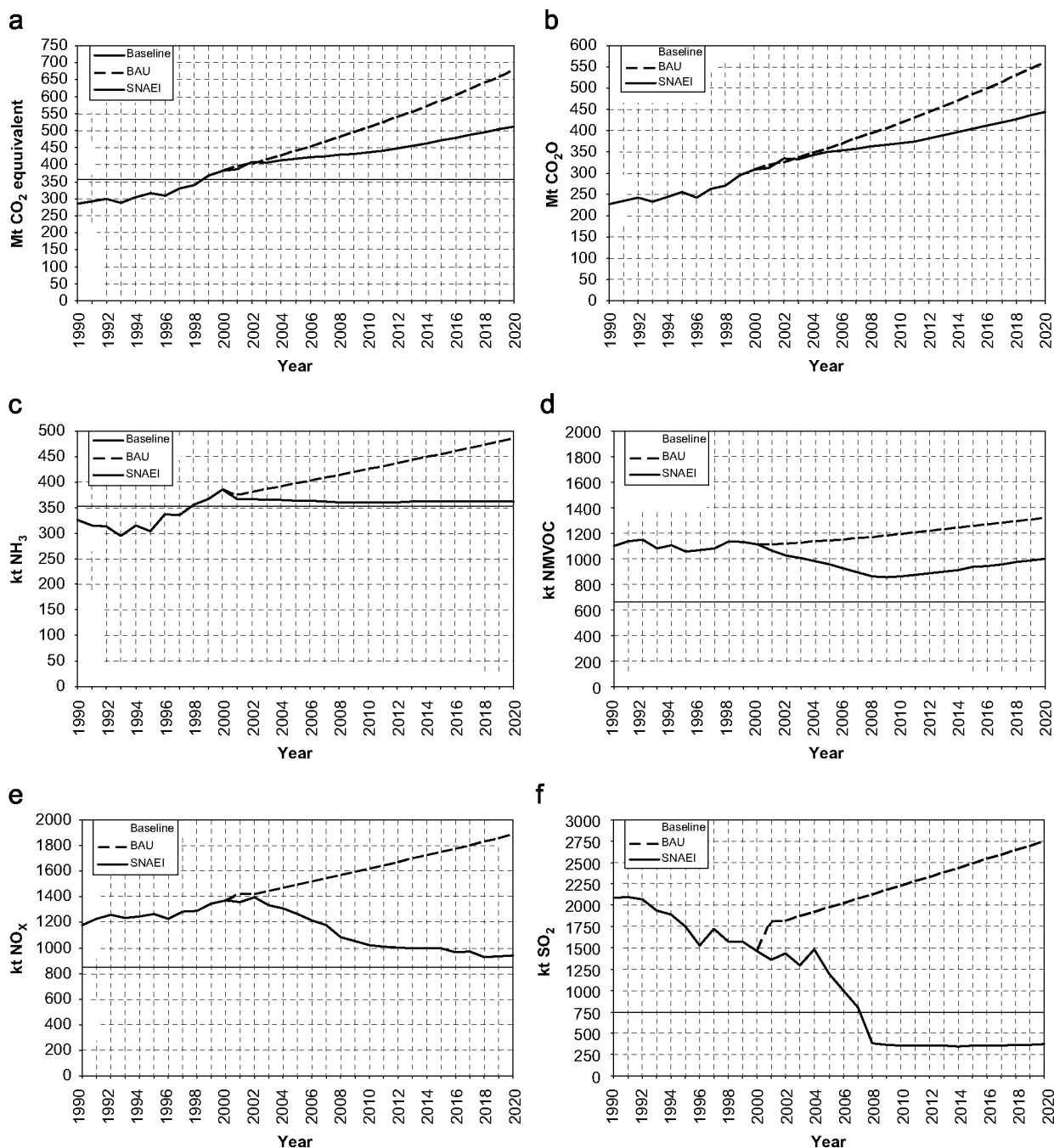


Fig. 5. Results for CO₂, GHG (as CO₂ equivalent), NH₃, NMVOC, NO_x and SO₂. The black line represents official estimates for past years in accordance to the SNAEI.

78 kt (26%) while new directives and penetration of technologies on solvent use are capable to decrease emissions by 181 kt. For NO_x reduction, on-road transport measures have the highest reduction potential. The implementation of new engines will

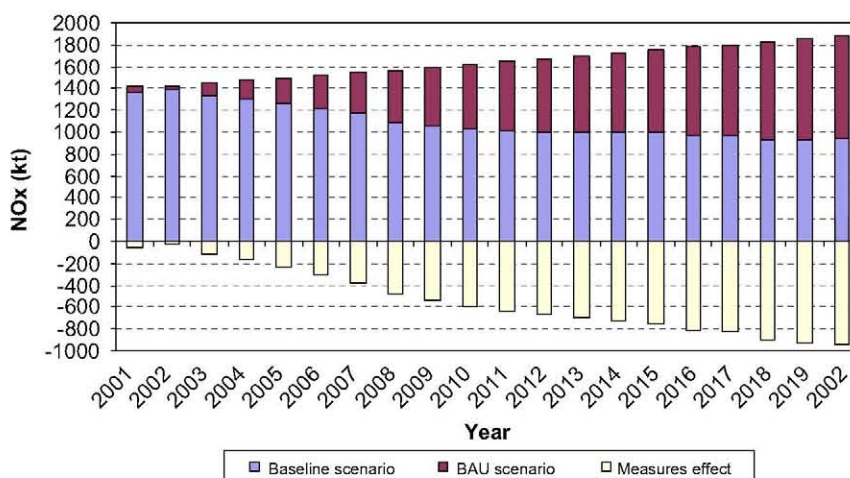
lead to a 232 kt decrease in Spanish emissions (37% of total reduction) while fuel switch and use of selective reduction systems on Power Plants reduce 222 kt. Measures for stationary sources such as desulphurization systems on power plants along

Table 1

Effect of policies and measures included in the baseline scenario for 2010 compared to emissions under the BAU scenario

SNAP group	Policies and measures (numbers according to Table 1s in supplementary material)	Atmospheric emission reductions (in kt except for GHG in Mt)				
		GHG	NH ₃	NMVOC	NO _x	SO ₂
1	1–7 and 9	27.7	−0.1	0.2	222.3	1604.0
2	1–4 and 8–10	2.5	0.0	5.7	10.2	3.6
3	1–4 and 9–16	6.9	0.0	7.6	112.6	188.7
4	3, 7, 10–11, 13–14, and 17–25	3.7	7.1	66.4	6.8	33.2
5	1–3 and 23	−0.7	0.0	0.7	0.0	0.0
6	1–2, 8, 10, 22, 6–7, and 26–28	7.8	0.0	180.8	0.0	0.0
7	1–4, 9–10, 22, and 29–30	8.5	4.2	78.4	232.0	24.3
8	1–4, 9, 22, 24, and 31	2.2	0.0	4.5	49.6	24.3
9	1–4, 10, 32–33, and 35	6.1	1.8	−4.1	−1.9	−1.0
10	1–2, 10, 32, and 34–36	7.9	52.7	6.5	−0.1	0.0
11	10 and 34–36	0.6	−0.2	−48.9	−0.2	0.0
Total	1–36	73.0	65.6	297.7	631.5	1877.1

Negative values mean that the combination of measures lead to an increase in emissions.

Fig. 6. Effect of P&M included in the baseline scenario on Spanish NO_x emission projections within the EMEP domain.

with expected change in fuel mix would be remarkably effective in SO₂ reduction, up to 1604 kt (85% of national diminution).

Fig. 6 shows NO_x emission pattern for Spain as an example of annual reductions for the total temporal scope of the projections. In 2020, total savings would equal emissions, which reveals the magnitude of potential abatement of P&M on NO_x emissions.

The P&M included not only consider technological options, but also determine the assumptions on key drivers such as energy consumption, industrial production, transport mobility, area occupied by different agricultural land uses, livestock, waste

management, etc. A rather large increase in most socio-economic drivers for the period 2000–2020 is assumed: population growth (21.2%), GDP (77.6%) and annual household construction (31.43%).

Fig. 5 shows the total national atmospheric emission projections for the main pollutants according to the inputs and assumptions under the baseline scenario. They are presented along with the BAU scenario and, when appropriate, with the Kyoto Protocol threshold or the National Emission Ceiling.

For GHG emission projections, the CO₂ equivalent is expected to grow almost linearly with a high

slope up to 2020. The main reason for such a high increase is the expected GDP growth and energy consumption (MF, 2005; ME, 2006). The measures considered regarding energy saving and technology improvement are not able to counteract the increase of GHG main drivers. As a result, it is projected a 79.4% increase in emissions in 2020 (compared to 1990) while the BAU scenario would present a 137.4% increase. Hence, P&M included in baseline scenario allow a reduction of 165.9 Mt of CO₂ equivalent emissions in 2020. Considering GHG individually, only PFC emissions would be lower in 2020 than in 1990 (−56.9%) due to a larger decrease in the use of PFC-14 than the increase in the use of PFC-218 and PFC-410. Changes in future emissions for the rest of the Kyoto Protocol gases are as follows: +178.3% for SF₆, +1287.5% for HFC, +94.0% for CO₂, +17.5% for CH₄ and +14.1% for N₂O. The sharp increase expected in fluorinated gases pales, however, when compared to CO₂ in terms of CO₂ equivalent. Expected CO₂ increment is mainly due to the growth of electricity demand, passenger mobility and freight transport. In relation to CH₄, improvements on gas capture in landfills could not counteract the increase of waste generation per capita and population growth.

As for the NEC pollutants, only NH₃ emissions will be higher in 2020 than in 1990 (+10.9%) according to the baseline scenario. For NMVOC, NO_x and SO₂ 2020 emissions will be 8.8%, 20.5% and 82.4% lower than 1990 figures, respectively. These diminutions are due to the measures included in the baseline scenario likewise GHG (Table 1s and Table 1). Many of them imply the use of less polluting technologies (primary measures) or the penetration of abatement technologies (end-of-pipe measures). For instance, the national strategy for increasing renewable energies plans a 202% growth in wind power production, a 940% expansion of solar photovoltaic energy and a 15% rise in hydroelectricity production from 2004 to 2010. Concerning abatement technologies, the national program for emission reductions on the power sector linked to the Large Combustion Plants Directive (2001/80/EC) foresee a broad implementation of flue gas desulphurization and selective catalytic reduction technologies to reduce SO₂ and NO_x emissions, respectively. Nevertheless, they also include non-technical measures designed to modify people behaviour and to improve energy efficiency (e.g., the strategic plan for transport infrastructure (MF, 2005) introduces measures seeking to stimu-

late a significant modal shift from road-based transport to rail-based transport such that the modal share of rail passengers is doubled by 2020). As for NH₃, the increment is caused by expected growth of livestock (especially pigs and cattle), constant annually use of mineral fertilizer and changes in feed use and manure systems.

For the remaining pollutants (results not shown), the main results are as follows: PM is expected to rise in 2020 by 49.4% for TSP with respect to 1990 as a consequence of activity patterns expected for power plants, transport and agriculture. A higher increase trend is expected for PM₁₀ and PM_{2.5} (174.9% and 192.7%, respectively). Regarding heavy metals, projections differ significantly: Pb emissions would be reduced by 92.2% mainly as a result of the mandatory decrease in gasoline lead content; Cd will, approximately, maintain the 1990 levels (a decrease of 3.1% is expected) and Hg would be reduced by 9.1%.

4. Discussion

4.1. Consistency of emission projections

The projection scheme presented in Section 2 allows the formulation of very specific, highly detailed hypotheses for each activity. This is an important advantage when performing the assessment of the measures assumed under any scenario, both from the technical and the cost-effectiveness points of view.

However, the main challenge confronted by this methodology is to ensure that individual emission projections have been aggregated in a consistent way into the superior hierarchical level (e.g., SNAP group, national total, etc.). As every SNAP activity is projected taking into account specific methods and assumptions, it is necessary to assure the compatibility and consistency of the different results. This is not a trivial question considering that activity rates are exogenous variables to the model that come from a wide range of information sources (macroeconomic models, population predictions, statistical methods, etc.) and that the demand forecast models that produce these estimates do not always consider the same basic socio-economic inputs or driving forces such as future GDP, interest rates or population. The “Macro-scenario” concept presented in Section 2.5 allows to overcome these obstacles since consistency across external variables can be checked.

4.2. Sensitivity analysis

The European EMEP/CORINAIR programme has undertaken several initiatives to estimate the uncertainty from emission inventories distinguishing between validation and verification procedures in order to increase the quality of those emission compilations (EEA, 2006). Regarding GHG emissions, the uncertainty has been quantified by deriving probabilistic confidence intervals for the central emission estimates (UNFCCC, 2000). The quantitative uncertainty analysis has been based either on Monte Carlo analysis or on a deterministic calculation of extreme emission values related to plausible ranges of input variables (Vardoulakis et al., 2002).

There are two basic methods to face the problem of uncertainty in forecasting: scenario analysis (developing scenarios that span an interesting range of possible outcomes) and uncertainty analysis (associating probabilities with outcomes) (Webster et al., 2002). For the CEP model, the first option has been adopted. However, a tool is currently being developed to quantify the total uncertainty in emission projections as a combination of different specific uncertainties related to the steps involved in

the emission estimations. Information about the methodology will be published shortly.

In this study, the method selected identifies the critical CEP model parameters and the emission sources that contribute the largest amount of uncertainty in projected values. Investing time in determining likely ranges for unimportant parameters must be avoided (van der Sluijs et al., 2003). For this study, a three-step methodology has been developed. The steps are as follows: (i) identification of activities with higher emissions, (ii) in-depth examination of critical parameters for each such activity, and (iii) application of a unique percentage change to each critical parameter to obtain the results in order to find out their influence in emission projections.

For the application to Spain, the 20 most important activities were identified in the first step, which are those with a contribution >5% of the total national emissions for any of the pollutants included in the SNAEI (Table 2). Following this approach, at least 70% of the total emissions for each pollutant are covered in the analysis except for NMVOC and N₂O, which are mostly emitted by diffuse sources. Second, 41 parameters were found to be the critical uncertainty drivers for each activity

Table 2
Top 20 activities for sensitivity analysis including their percentage of total 2000 emissions

No.	SNAP code	SO _x	NO _x	NMVOC	CH ₄	CO ₂	N ₂ O	NH ₃	SF ₆	HFC	PFC
1	01.01.01	63.6	17.9	0.2	–	27.7	0.9	–	–	–	–
2	02.02.02	1.1	1.2	1.5	1.6	5.4	0.7	–	–	–	–
3	03.01.03	4.9	1.8	0.1	0.1	5.8	0.5	–	–	–	–
4	03.03.11	3.5	3.7	–	–	3.2	0.3	–	–	–	–
5	04.03.01	0.3	0.1	–	–	0.2	–	–	–	–	90.3
6	04.06.11	–	–	4.5	–	–	–	–	–	–	–
7	04.06.12	–	–	–	–	4.9	–	–	–	–	–
8	04.08.01	–	–	–	–	–	–	–	–	36.0	–
9	06.05.02	–	–	–	–	–	–	–	–	44.3	9.1
10	06.05.06	–	–	–	–	–	–	–	–	15.3	–
11	06.05.07	–	–	–	–	–	–	–	100.0	–	–
12	07 ^a	1.1	37.3	7.4	0.5	25.0	6.5	1.4	–	–	–
13	07.06.00	–	–	3.4	–	–	–	–	–	–	–
14	08.06.00	0.1	7.2	0.6	–	2.2	0.2	–	–	–	–
15	09.04.01	–	–	–	15.4	–	–	–	–	–	–
16	10.01.02	–	0.6	6.1	–	–	23.5	38.1	–	–	–
17	10.01.05	–	0.1	–	–	–	16.8	12.1	–	–	–
18 ^b	10.0X	–	–	–	25.3	–	–	5.6	–	–	–
19 ^b	10.0X	–	–	–	21.2	–	–	14.9	–	–	–
20 ^b	10.0X	–	–	–	12.3	–	–	0.7	–	–	–
Total (%)		74.5	69.9	23.8	76.4	74.5	49.3	72.9	100.0	95.6	99.5

^aExcept 07.06 (gasoline evaporation) and 07.07 (tyre and brake wear).

^b18, 19 and 20 correspond to dairy cows, fattening pigs and ovines, respectively.

(Table 3). Finally, a $\pm 10\%$ variation was applied to these parameters.

4.3. Comparison with RAINS/GAINS

Due to the reporting requirements imposed by the NEC Directive and the Kyoto Protocol, most European countries have produced national emission projections which are currently being used as inputs to the continental scale Regional Air Pollution INformation and Simulation (RAINS) model. This system is intended to identify cost-optimal allocations of emission reductions in Europe (Amann et al., 2004) compatible with the deposition and concentration targets for the protection of human health and ecosystems determined in the Gothenburg Protocol and the European Thematic Strategy on Air Pollution.

The RAINS model consists of several modules that provide national emission projections and costs related to different end-of-pipe control measures in future years. The results are eventually used to determine regional air quality levels at $50 \text{ km} \times 50 \text{ km}$ resolution (EMEP, 2004). In recent years, the RAINS model has been updated into the Greenhouse Gas–Air Pollution Interactions and Synergies (GAINS) model (Klaassen et al., 2004), which also considers structural and non-technical measures.

The main differences between the methodologies implemented in GAINS and CEP to estimate future emissions are the level of detail in activity disaggregation and the associated input data requirements. GAINS uses lower resolution data that ensure the consistency of Europe-wide emission projections. These input data are obtained from a

Table 3
Main uncertainty-related parameters for each activity (top 20)

No.	SNAP code	Activity name	Parameters
1	01.01.01	Public power combustion plants $\geq 300 \text{ MW}$	Fuel consumption, gas consumption
2	02.02.02	Residential combustion plants $< 50 \text{ MW}$	Fuel consumption, gas consumption
3	03.01.03	Combustion in manufacturing industry plants $< 50 \text{ MW}$	Fuel consumption, gas consumption
4	03.03.11	Combustion in cement production	Fuel consumption, SO_2 and NO_x EF
5	04.03.01	Aluminium production (electrolysis)	Al production, production technology
6	04.06.11	Road paving with asphalt	Asphalt production, asphalt tones per km paving, km paved
7	04.06.12	Cement production (decarbonizing)	Cement production
8	04.08.01	Halogenated hydrocarbons production. By-products	Production of halogenated hydrocarbons, plants location
9	06.05.02	Refrigeration and air conditioning equipments using halocarbons	Sales of equipments, lost in charge (k), lost during lifetime (x)
10	06.05.06	Aerosol cans	Consumption of cans, rate of gas injected per can
11	06.05.07	Electrical equipments (except electronic components manufacturing)	Stock amount, EF during equipment's manufacturing, installation, operating phase, and disposal
12	07	Road transport (except Gasoline evaporation and tyre and brake wear)	Mileage travelled by cars, light- and heavy-duty vehicles and motorcycles
13	07.06.00	Gasoline evaporation from vehicles	Mileage travelled by cars, light- and heavy-duty vehicles and motorcycles
14	08.06.00	Other mobile sources and machinery in agriculture	Number of agricultural machinery, number of usage hours per year, Horsepower average
15	09.04.01	Managed waste disposal on land	Amount of MSW to landfills, organic content, paper and cardboard content
16	10.01.02	Permanent crops with fertilizers	Dose of organic and inorganic fertilizer applied to the crops
17	10.01.05	Grassland with fertilizers	Dose of organic, inorganic and total fertilizer applied to the crops
18	10.0X	Dairy cows	Number of cows, emission factors
19	10.0X	Fattening pigs	Number of pigs, emission factors
20	10.0X	Ovines	Number of ovines, emission factors

variety of European models: economic models such as PRIMES for Energy system model for EU27+3 countries (Capros and Mantzos, 2004) and other sector-specific models such as TREMOVE/SCENES which is used for the impact assessment of transport policies for EU21 (De Ceuster et al., 2006) and CAPRI that models and assess EU27 agricultural policies (Heckelei and Britz, 2000). In addition to this, GAINS has developed national scenarios for each EU Member State based on their own activity rate projections.

On the other hand, CEP uses more detailed emissions disaggregation and hypotheses for future developments, as well as a higher spatial resolution of input data. CEP is capable of accurately simulating future emission scenarios for each activity sector in a given country, due to the specificity of input data. CEP includes an original nomenclature to compare emission and activity rates estimations with GAINS results and vice versa based on a fourth hierarchical level for the original SNAP nomenclature.

The comparison between baseline scenarios (Base and NEC_NAT_CLE) shows reasonable agreement. Trends are rather consistent for all the pollutants, especially NH_3 and CO_2 . Maximum differences were observed to be 10% for NO_x and 30% for SO_x in the year 2010. These values were reduced to 9% and 18%, respectively, in the year 2020.

5. Conclusions

In this paper, a methodology (CEP) to evaluate national emission projections in a consistent way has been presented. Following this methodology, emission projections are calculated for different scenarios depending on national socio-economic, legislative and technological aspects. This methodology was applied to Spain to evaluate all pollutants covered by the Geneva Convention and the Kyoto Protocol, from all emission sources included in the SNAP nomenclature. The model, however, might be applied to project any other pollutant.

The innovative aspects of CEP include a fully consistent approach for estimating emission projections across a wide range of national activity sectors. The high level of detail used in the calculations allows a further temporal and spatial disaggregation of emission projections for air quality modelling purposes including the assessment

of fulfilment of standards and comparison with EMEP/RAINS results.

A three-step sensitivity analysis methodology has been developed as a first step to projections uncertainty assessment. The 20 most polluting activities were identified along with their main driver parameters. A variation of the parameters led to different scenario that permits an analysis of their influence in the emissions. However, future efforts should be oriented to perform an advanced uncertainty analysis.

In addition, a tailored software tool (EmiPro) has been produced to support data management, quality checks, quality assurance, and report generation. The flexibility of the methodology and associated tool enable decision-makers to assess different emission control policies (e.g., abatement technologies) in a straightforward and comprehensive manner.

A specific nomenclature (CEP) has been designed to compare emission projections classified on SNAP, CRF or NFR to RAINS/GAINS nomenclature. It contains 1439 activities and a mapping with their biunivocal relation with RAINS activities. This mapping allows a comparison of activity rate and emission estimates from both models and may be adopted by any country.

CEP has been applied to Spain for the period 2001–2020. The analysis of results showed a substantial increase in atmospheric emissions for the BAU scenario while P&M included in the baseline scenario led to lower increments up to 2020. The differences between both scenarios corresponded to the effect of P&M likely to be implemented in the future. It was found that measures on electricity generation (energy savings, desulphurization technologies, Selective Catalytic Reductions, changes in the fuel mix, etc.) are very effective for GHG, NO_x and SO_2 (responsible of 38%, 35% and 85% of total reductions, respectively) while P&M in solvent use and road transport are crucial to reduce NMVOC (61% and 26%). Agriculture initiatives determine 80% of NH_3 improvements and transport measures (shift from road transport to rail, further implementation of reduction technologies in road transport vehicles, etc.) contribute to 45% of total NO_x abatements.

Finally, this study demonstrates that the application of a very detailed methodology for atmospheric emission projections in a country provide improved results than less in-depth evaluations and can help identifying most efficient measures to reduce

emissions, assessing legislation compliances, and supporting decision-making. Future work should focus on extended uncertainty analysis, calculation of cost/effectiveness ratios for each measure, adaptation of outputs to be used as input for air quality modelling, and linking projections with health and environmental impacts (e.g., by using projections for modelling air quality and its effects).

The methodology presented may be adapted to any particular region for different purposes. Nonetheless, it was designed in consistency with the EMEP/CORINAIR methodology and the CAFE framework and therefore it may be easily exported to any European country to be applied on a routine basis.

Appendix A. Supplementary materials

Supplementary data associated with this article can be found in the online version at doi:10.1016/j.atmosenv.2008.02.067.

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